ELECTRON CAPTURE DECAY OF
INDIUM-111 HUMAN CARBONIC
ANHYDRASE I: A TIME DIFFERENTIAL
K X RAY COINCIDENCE PERTURBED
ANGULAR CORRELATION STUDY

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ABSTRACT

The relaxation effects in the perturbed angular correlation spectra of $^{111}$In human carbonic anhydrase I (HCA I) are the result of chemical transmutation and/or the complex Auger cascades that follow the electron capture decay of $^{111}$In. Time differential K X-ray coincidence perturbed angular correlation (PAC) spectroscopy shows that these relaxation effects are independent of the Auger cascade intensity. This suggests that chemical transmutation is responsible for the relaxation effects, and that bond breaking and damage product formation around the decay site resulting from localized energy deposition by Auger and Coster-Kronig electrons probably occur in the microsecond time regime. Numerical simulations of chemical transmutation relaxation effects in the time differential PAC spectrum of $^{111}$In HCA I are also presented.
INTRODUCTION

Perturbed angular correlation spectroscopy (PAC) is a potential technique for investigating hot atom chemistry following electron capture decay of a radionuclide probe (1,2). As is the case with Mössbauer emission spectroscopy, it may be possible to identify the chemical form of the probe nuclide coordination complex during the nuclear lifetime (3,4). The average Auger and Coster-Kronig electron yield is significantly diminished when the vacancy cascade following electron capture includes the emission of a K X-ray as compared to when it does not. It is in principle possible to study the dependence of hot atom chemistry on the intensity of Auger and Coster-Kronig emissions by detecting the nuclear radiations of perturbed angular correlation or Mössbauer emission spectroscopy in coincidence with K shell X-rays (5,6). Given the very limited knowledge of hot atom chemistry of electron capture nuclides that are possible perturbed angular correlation probes, one may ask only a yes or no question. Does or does not the hot atom chemistry depend on electron emission intensity? To answer this, a chemical transmutation model, and a dose dependent damage model are constructed for the perturbed angular correlation spectra following electron capture. Both models encompass several alternative pictures of the hot atom chemistry. The simplest hot atom chemistry of the chemical transmutation model is very similar to $\beta^+$ decay on the nanosecond time scale. Note that $\beta^+$ decay is energetically forbidden for $^{111}$In. The multiple Auger ionization processes are followed by complete electron recombination that leaves the daughter nuclide in a metastable state with both the atomic number and valence decreased by one unit from the parent. The metastable state is the result of the change in charge rather than charge neutralization or Auger electron irradiation. The metastable state of the daughter nuclide decays within tens of nanoseconds to the ground state coordination complex of the daughter and ligands. However, any hot atom chemistry involving an excited state of the daughter that decays thereafter into the ground state complex is equally consistent with the chemical transmutation model. The only requirement is that the excited state be independent of Auger emission intensity. The dose dependent damage model pictures radiolytic fragmentation of probe coordination complex ligands. The amplitudes and rates of ligand fluctuations increase with the intensity of the Auger emissions. Though uncertain about the details of hot atom chemistry, both models predict perturbed angular correlation spectra. The comparison of these spectra with experimental data indicates whether or not the hot atom chemistry during
the nanoseconds following electron capture depends on Auger emission intensity.

A partial decay scheme for $^{111}$In and $^{111m}$Cd is shown in Fig. 1. Perturbed angular correlation spectra of the isomeric $^{111m}$Cd decay provide the quadrupole interaction parameters of the ground state coordination complex in the chemical transmutation model and the ligand relaxation parameters at zero dose in the dose dependent damage model. Following $^{111}$In electron capture decay the $^{111}$Cd daughter is in a 120 picosecond nuclear excited state that initiates the 171 - 245 keV gamma cascade. Processes occurring faster than the life time of this initial nuclear state, including dissipation of thermal hotspots (3,7), do not influence perturbed angular correlation spectra. The spectra reflect the hot atom chemistry occurring during the lifetime of the intermediate state. Indirect effects involving the diffusion of water radicals are not observed. A great variety of radiochemical and radiobiological experiments show that direct and indirect processes together result in damage

\[ \text{EC} \quad 120 \text{ ps} \]
\[ \gamma \quad 171 \text{ keV} \quad \text{M1+E2} \]
\[ \gamma \quad 245 \text{ keV} \quad \text{E2} \]

\[ 111\text{In} \quad 2.83 \text{d} \]

\[ 111\text{Cd} \]

FIG. 1. Partial decay scheme for $^{111}$In and $^{111m}$Cd (9). The half-life of each level is labeled. The 171 - 245 keV gamma cascade follows the electron capture decay of $^{111}$In; the 48.6 min $^{111m}$Cd state decays by the 151 - 245 keV gamma cascade. Both cascades share the same 245 keV $5/2^+$ $^{111}$Cd intermediate nuclear state.
that is proportional to the Auger emission intensity. For example, when zinc bovine carbonic anhydrase is X ray irradiated just above the zinc K edge, enzyme activity and zinc release assays indicate significant Auger inactivation (8). The more intense Auger emissions accompanying $^{111}$In decay can be expected to inactivate HCA I even more effectively. The combined direct and indirect effects are Auger emission intensity dependent. An Auger dependent effect on the nanosecond time scale can be detected with K X-ray coincidence perturbed angular correlation spectroscopy.

**MATERIALS AND METHODS**

Human carbonic anhydrase I was isolated from freshly outdated erythrocytes (10). The apoenzyme was obtained by dialysis at 4°C against pyridine-2,6-dicarboxylic acid (11). HCA I activity was assayed spectrophotometrically with p-nitrophenyl acetate (Sigma Chemical Co., St. Louis, Missouri) as substrate (12). Conventional time differential gamma-gamma perturbed angular correlation spectra of $^{111}$In labeled HCA I were measured on a movable two detector perturbed angular correlation spectrometer (13). A 2 X 2 inch cylindrical BC-404 plastic scintillator with 0.25 inch centered side bore for internal sample mounting and RCA 8575 phototube detected the K X rays. Coincidence gating electronics, including a time to pulse height converter for coincidence timing of K X rays and the gamma-gamma cascade, selected either K X ray coincidence or anticoincidence spectra for storage and analysis. Carrier free $^{111}$In in 0.05 M HCl (Du Pont de Nemours & Co. Inc., Wilmington, Delaware) was ordered at two week intervals. ApoHCA I was incubated for 48 h with $^{111}$In in 100 mM HEPES buffer (Research Organics Inc., Cleveland, Ohio) at pH 7.7 with sodium citrate acting as carrier for indium. In order to hold constant both the volume and total indium concentration, the specific activity of the $^{111}$In stock was adjusted with cold indium chloride. After labeling with indium, the effects of HCA I rotational motions on the perturbed angular correlation spectrum were diminished by adding sucrose to a final concentration by weight of 50%. Seven labeled protein samples were prepared from each shipment of $^{111}$In. A perturbed angular correlation spectrum was accumulated for 46 h from each sample. The first and last sample were run as incubation controls. These samples were measured for an initial twelve hours as indium-111 citrate; HCA I was added, and the measurement was continued for another 34 h. The time integral perturbation factors accumulated for 20 min intervals monitored the HCA I labeling reaction. All spectra from shipments that did
not give excellent labeling were discarded. Three of the five non-control samples per shipment were measured in K X ray coincidence mode and two in K X ray anti-coincidence mode. A total of 52 coincidence mode samples and 36 anti-coincidence mode samples were combined for analysis. All sample preparations and measurements were done at 21 ± 1°C.

Electron capture gamma-gamma perturbed angular correlation spectra measure the ensemble average electric quadrupole relaxation of the daughter nucleus spin. Magnetic dipole interactions are assumed to be negligible. The measurable parameters are model dependent. All models must contain one or more rate constants that characterize the time dependence of the quadrupole interactions. In the chemical transmutation model, spin relaxation is the result of the decay of a metastable state of the daughter nucleus coordination complex. This metastable state might be a valence electron, coordination geometry, or ligand conformation state. In any case, the quadrupole interaction parameters of the initial metastable state are the frequency mean, frequency standard deviation, asymmetry and the mean lifetime of the state. The initial state decays into the ground state coordination complex. The interaction parameters of the ground state are the frequency mean, frequency standard deviation, asymmetry, and Euler rotation angles relative to the initial state. The initial and ground state interaction frequencies are independent Gaussian distributions. Each individual daughter nucleus interacts with the initial and ground state coordination complexes at fixed quadrupole frequencies that are randomly and independently sampled from the respective Gaussian distributions. The lifetimes of the initial interactions are given by an exponential distribution. In addition to relaxation from coordination complex decay, the chemical transmutation model includes relaxation from overall molecular tumbling by multiplying the spectrum with an exponential factor.

The dose dependent damage model ascribes the spin relaxation to ligand reorientation in the coordination complex. The quadrupole interaction parameters of the coordination complex are the mean frequency, frequency standard deviation, asymmetry, rotation angle standard deviation, and jump rate. These five parameters depend linearly on dose to the coordination complex and have a baseline value for the zero dose coordination complex. The electric field gradient orientation distribution is defined by rotations from a reference orientation, where the rotation angle distribution is Gaussian and the rotation axis distribution is isotropic. Note that though there is a single reference orientation in this interaction model, the perturbed angular
correlation spectrum is for an isotropic source because the interactions of each daughter nucleus are averaged over random orientations when the spectrum is computed. The interaction frequency distribution is a Gaussian. Both the interaction frequency and orientation are sampled after every jump. The time intervals between jumps are exponentially distributed.

In both the chemical transmutation and dose dependent damage models, the interaction of each individual daughter nucleus is piecewise static and each set of model parameters specifies a probability distribution function for piecewise static interactions. The perturbation factor (which is loosely referred to as the perturbed angular correlation spectrum), is the average over this probability distribution of the perturbation factor of each piecewise static interaction. For a piecewise static interaction averaged over random source orientations, the perturbation factors are (13),

\[
G_{kk}(t) = \sum_{m_a m_b N} (-1)^{2l' m_a + m_b} \left( \begin{array}{ccc} I & I & k \\ m'_a & -m_a & N \end{array} \right) \left( \begin{array}{ccc} I & I & k \\ m'_b & -m_b & N \end{array} \right) \right) \langle m_b | A(t) | m_a \rangle \langle m'_b | A(t) | m'_a \rangle^*,
\]

where \( k \) is an even index, \( t \) is the delay time between emission of the two gamma rays from the daughter nucleus gamma-gamma cascade, \( I \) is the spin of the intermediate nuclear state, \( m_a, m'_a, m_b, \) and \( m'_b \) index magnetic substates of the intermediate state, the matrix element coefficients are the Wigner 3-J symbols, and \( A(t) \) is the piecewise static time evolution operator. Since only \( G_{22}(t) \) is usually measurable, it is referred to as the perturbation factor. The index \( N \) takes all integer values with absolute value less than or equal to \( k \) and the primed magnetic substate indices are given by \( m'_a - m_a + N = 0 \) and \( m'_b - m_b + N = 0 \). Since the perturbation factor is always computed at a series of time points, it is appropriate to express the piecewise static time evolution operator as a series operator product of interval evolution operators. The interval evolution operator for the time interval \( (t', t) \) is a series operator product of static evolution operators,

\[
A(t', t) = \prod_{j=1}^{J'} e^{-i\frac{H_j}{\hbar} t'},
\]

where \( H_j \) is the \( j^{th} \) time independent interaction Hamiltonian, \( j \) is the index of the first Hamiltonian with active interval overlapping \( (t', t) \), \( J' \) is the index
of the last Hamiltonian with active interval overlapping \((t',t)\), and \(t_j\) is the
time overlap of \((t',t)\) and the active time interval of the \(j^{th}\) Hamiltonian.
Matthias et al. (14) give the electric quadrupole Hamiltonian matrix elements
for arbitrary intermediate spin, interaction frequency, asymmetry parameter,
and y convention (15) orientation. The quadrupole interaction frequency is
specified by the angular frequency \(\omega_0\). By definition, \(\omega_0\) equals 3 times the
quadrupole interaction frequency for integer spin and 6 times the quadrupole
interaction frequency for half integer spin. When the electric quadrupole
Hamiltonian is axially symmetric \(\omega_{yhl}\) equals the smallest nonvanishing
eigenvalue difference. The angular frequency \(\omega_0\) is frequently reported with
correct numerical value but with the erroneous dimension of Hertz (16).

The piecewise static perturbation factors were evaluated by repeated
extension of the time evolution operators in increments of \(0.025(2\pi / \omega_0)\),
where \(\omega_0\) was the mean angular frequency of the ground state or zero dose
coordination complex. The evolution operator at each time point was
extended to the next time point by matrix multiplication with a time interval
evolution operator. Each time interval evolution operator was a product of
static evolution operators with the Hamiltonian indices and overlap
intervals indicated in Eq. 2. The interaction Hamiltonians were diagonalized
(17); the static evolution operators were expressed in diagonal form with the
eigenvalues, transformed back into the magnetic substate representation with the
eigenvectors, and multiplied together to give the time interval evolution
operators. Since in the parameter domain of interest the perturbation factor
time intervals were an order of magnitude smaller than the typical static
Hamiltonian active time interval, most interval evolution operators were a
single static evolution operator and each Hamiltonian diagonalization
generated many interval evolution operators. The time interval evolution
operators were repeatedly multiplied to give the evolution operators at a
series of 160 time points. The matrix elements of the evolution operators
were inserted in Eq. 1 to give the piecewise static perturbation factors at these
time points.

The piecewise static perturbation factor probability distribution
function was averaged by Monte Carlo integration (18). Perturbation factor
error estimates were calculated from the deviation of subaverages around the
overall average as a function of the spectrum delay time. Gaussian interaction
frequency and rotation angle distributions were generated by summing
uniform random variates. Excited state and orientation lifetimes were
generated by logarithmic transform of uniform random numbers on the unit
intervals. Isotropic rotation directions were generated by an acceptance-rejection technique (19). Random numbers uniform on the unit interval were generated by a 32-bit linear congruential pseudo-random generator with divisor 2^{31}-1 and multiplier 75.

Initially the qualitative dependence of the perturbation factor on parameter space of the chemical transmutation and the dose dependent damage models was coarsely surveyed. The chemical transmutation model metastable state lifetime, ground state rotation angle, and relative magnitude of the metastable and ground state mean interaction frequency parameters were varied. Based on the preliminary survey and known ground state coordination complex parameters, a crude estimate was made of the parameter point that fit the data. This fit was refined by varying the unknown parameters one at a time until the residuals were about the same magnitude as the experimental error. The chemical transmutation model ground state frequency mean, frequency standard deviation, and asymmetry and the overall tumbling relaxation time constant were fixed at the known values. The Euler rotation angles relative to the initial state were fixed at values found in the preliminary survey to give strong spin relaxation. The initial metastable state was assumed to be axially symmetric, and the frequency mean and frequency standard deviation were varied.

The preliminary dose dependent damage model parameter survey fixed the mean frequency, frequency standard deviation, and asymmetry parameters at the known zero dose coordination complex values, and varied the rotation angle standard deviation and jump rate parameters. By comparing the results of this search and the perturbation factor measured by Bauer et al. (20) with the assumption that spin relaxation is due to molecular tumbling, values were identified for the rotation angle standard deviation and jump rate parameters approximately equivalent to the molecular tumbling time constant of the zero dose coordination complex. The preliminary search also yielded the initial parameter point for fitting the dose dependent damage model to the data. The mean frequency, frequency standard deviation, asymmetry, rotation angle standard deviation and jump rate parameters were all varied.

During the preliminary parameter space searches and parameter refinement, the perturbation factors were computed by averaging 10^3 piecewise static interactions. The standard deviations of these perturbation factors were fairly independent of spectrum delay time and typically about
0.01. The displayed perturbation factors were averaged over $10^4$ interactions to give a standard deviation of about 0.003. All calculations were done on a DEC VAX 3600 or Silicon Graphics 4D workstation.

RESULTS AND DISCUSSION

The measured $K\alpha$ ray coincidence and anti-coincidence perturbed angular correlation spectra for $^{111}$In HCA I in 50% sucrose are shown in both Figs. 2 & 3. Figure 2 shows theoretical spectra for the chemical transmutation model at parameter values listed in Table I and Fig. 3 shows theoretical spectra for the dose dependent damage model at parameter values listed in

![Graph](image)

**FIG. 2.** Chemical transmutation model for HCA I perturbed angular correlation spectra. The open circles are the $K\alpha$ ray anti-coincidence spectrum and the crosses are the $K\alpha$ ray coincidence spectrum. Standard error bar heights vary from slightly smaller than to slightly larger than the markers over the displayed range of delay times. The solid curve is an approximate fit of the chemical transmutation model to the data. The dashed curve is the least squares fit of Bauer et al. (20) to the $^{111m}$Cd HCA I perturbed angular correlation spectrum.
FIG. 3. Dose dependent damage model for HCA I perturbed angular correlation spectra. The experimental data is identical to that displayed in Fig. 2. The solid curve is an approximate fit of the dose dependent damage model to the data. The short dashed curve is an approximate fit to the $^{111}$In HCA I perturbed angular correlation spectrum with relaxation from ligand reorientation rather than molecular tumbling. The long dashed curve is the dose dependent damage model for the K X-ray coincidence HCA I perturbed angular correlation spectrum derived by linear interpolation of the model parameters for the $^{111}$In and K X-ray anti-coincidence spectra.

Table II. The procedure for approximately fitting the models is given in the methods section. Relaxation in the chemical transmutation model spectra results from metastable coordination complex decay and molecular tumbling. Evidently these mechanisms can account for all relaxation experimentally observed in $^{111}$In HCA I perturbed angular correlation spectra. Since the coordination complex initial metastable state is assumed to be independent of the Auger emission intensity, the chemical transmutation model predicts equality of the K X-ray coincidence and anti-coincidence spectra.
TABLE I
Chemical Transmutation Model Quadrupole Interaction Parameters for Perturbed Angular Correlation Spectra of HCA I

<table>
<thead>
<tr>
<th></th>
<th>$^{111m}$Cd</th>
<th>$^{111}$In</th>
</tr>
</thead>
<tbody>
<tr>
<td>Metastable State</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Frequency mean (s$^{-1}$)</td>
<td>-</td>
<td>$133 \times 10^6$</td>
</tr>
<tr>
<td>Frequency SD</td>
<td>-</td>
<td>50%</td>
</tr>
<tr>
<td>Asymmetry</td>
<td>-</td>
<td>0.0</td>
</tr>
<tr>
<td>Lifetime (ns)</td>
<td>0.0</td>
<td>66</td>
</tr>
<tr>
<td>Ground State</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Frequency mean (s$^{-1}$)</td>
<td>$95 \times 10^6$</td>
<td>$95 \times 10^6$</td>
</tr>
<tr>
<td>Frequency SD</td>
<td>18%</td>
<td>18%</td>
</tr>
<tr>
<td>Asymmetry</td>
<td>0.68</td>
<td>0.68</td>
</tr>
<tr>
<td>Euler angles ($\phi,\theta,\psi$)</td>
<td>-</td>
<td>$0, \pi/2, 0$</td>
</tr>
<tr>
<td>Tumbling lifetime (ns)</td>
<td>81</td>
<td>81</td>
</tr>
</tbody>
</table>

TABLE II
Dose Dependent Damage Model Quadrupole Interaction Parameters

<table>
<thead>
<tr>
<th></th>
<th>$^{111m}$Cd</th>
<th>$^{111}$In (k$^+$)</th>
<th>$^{111}$In (k$^-$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frequency mean (s$^{-1}$)</td>
<td>$95 \times 10^6$</td>
<td>$127 \times 10^6$</td>
<td>$133 \times 10^6$</td>
</tr>
<tr>
<td>Frequency SD</td>
<td>18%</td>
<td>47.6%</td>
<td>53%</td>
</tr>
<tr>
<td>Asymmetry</td>
<td>0.68</td>
<td>0.468</td>
<td>0.43</td>
</tr>
<tr>
<td>Rotation SD</td>
<td>25°</td>
<td>37.7°</td>
<td>40°</td>
</tr>
<tr>
<td>Jump lifetime (ns)</td>
<td>26.5</td>
<td>18.1</td>
<td>16.5</td>
</tr>
</tbody>
</table>
Relaxation in the dose dependent damage model spectra results from ligand reorientation. The absence of molecular tumbling relaxation in this model is a matter of convenience. Additional high precision $^{111m}$Cd HCAB I spectra would be required to establish the correct balance of relaxation due to internal and overall motion. The theoretical X-ray coincidence and anti-coincidence spectra for the dose dependent damage model depend upon the relative Auger emission intensities. The electron capture decay of $^{111}$In in coincidence with a cadmium X-ray yields on average 11.0 Auger and Coster-Kronig electrons and in anti-coincidence yields on average 16.8 electrons (R.W. Howell, private communication). The anti-coincidence yield must be corrected for the efficiency of detection for X-rays. The corrected anti-coincidence yield,

$$Y'_{k} = \frac{(1 - \omega)}{1 - \epsilon \omega} Y_{k} + \frac{\omega (1 - \epsilon)}{1 - \epsilon \omega} Y_{k^*},$$  \hspace{1cm} (3)

where $\epsilon$ is the X-ray detection efficiency, $\omega$ is the probability per decay of KX-ray emission, $Y_{k}$ is the perfect anti-coincidence yield, and $Y_{k^*}$ the KX-ray coincidence yield. At the estimated detection efficiency for cadmium K X-rays of 23\%, the corrected anti-coincidence Auger electron yield is 13.0. The ratio $Y_{k^*}/Y'_{k} = 11/13$ interpolates the K X-ray coincidence parameters between the zero dose and K X-ray anti-coincidence parameters in the dose dependent damage model. The ratio of the difference between the K X-ray coincidence and zero dose parameters to the difference between the KX ray anti-coincidence and zero dose parameters is 11/13, see Table II. The experimental $^{111}$In HCAB I K X-ray coincidence and anti-coincidence spectra are identical. However, since the difference in the theoretical K X-ray coincidence and anti-coincidence spectra is small enough relative to the experimental error, the dose dependent damage model may not be confidently rejected.

**CONCLUSIONS**

The indistinguishability of the experimental $^{111}$In HCAB I K X-ray coincidence and anti-coincidence spectra suggests that during the first $10^{-8}$ s following electron capture decay the hot atom chemistry is independent of the Auger and Coster-Kronig electron emission intensity. As demonstrated by the dose dependent damage model, the experimental data do not conclusively rule out dependence of hot atom chemistry on emission intensity. The difference between the HCAB I K X-ray coincidence and anti-coincidence
spectra could be significantly increased by detecting Kβ X-rays for the coincidence spectrum, increasing the detection efficiency of all K X-rays for the anti-coincidence spectrum, and possibly by measuring at liquid nitrogen temperature.

ACKNOWLEDGMENTS

Special thanks are due to Kandula S.R. Sastry and Franklyn G. Prendergast for their continued encouragement and support of this project. Lynda McDowell purified HCA I and helped with indium binding assays. Heiner Winkler introduced me to the Monte Carlo procedure (21) for computing a perturbation factor by averaging over the probability distribution of piecewise static interactions. Roger Howell provided electron yields. Alexander Halpern has called to my attention Refs. (1-3,8). This work was supported in part by National Institutes of Health grant 1 R03 RR02219-01.

REFERENCES


DISCUSSION

HUMM, J. I. Using PAC techniques you have time resolution within the nanosecond realm. If I understood you correctly, you concluded that your major finding by this technique was chemical damage to the $^{111}$In appended molecule resulting from transmutation. Since the Auger cascade is all over within about $10^{-13}$ s, I find it confusing as to why the effects of the Auger electron emission and charge neutralization have not produced a more noticeable effect on the observed spectra?
HAYDOCK, C. The half-life of the $^{111}$Cd daughter nuclear excited state initiating the gamma-gamma cascade is 120 ps. Thus the electric and magnetic fields generated at the $^{111}$Cd daughter nucleus during the Auger cascade and charge neutralization are not observed. However, the molecular damage should be observed in the spectra in proportion to the number of Auger electrons emitted. The indistinguishability of the K X-ray coincidence and anti-coincidence spectra indicates that chemical transmutation effects dominate over direct Auger and charge transfer effects, and that the molecular damage is due to the indirect action of free radical ions.